AXXCESS
www.axxcessplatform.com

Wealth Management

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AXXCESS
PRIVATE CLIENT

Axxcess helps clielnts better address their financial well being.

Axxcess integrates third party investment managers alongside real estate, private equity, and hedged investments to create a unique Platform to transform your wealth management experience.

AXXCESS PLATFORM CLIENT SERVICES

OUR MISSION IS TO PROVIDE HIGH TOUCH SERVICES TO OUR CLIENTS TO HELP THEM REALIZE THEIR FINANCIAL GOALS. WE DO THIS BY STEPPING AWAY FROM THE INDUSTRY STANDARD "ONE-SIZE FITS ALL" MODEL PORTFOLIO TO A CUSTOMIZED INVESTMENT PORTFOLIO MINDSET, STRUCTURAL PLANNING & A HOLISTIC VIEWPOINT OF THEIR INVESTMENTS. INVESTORS DEMAND INSTITUTIONAL-QUALITY MANAGERS, ANALYTICAL TECHNOLOGY, MAXIMUM TRANSPARENCY, INDEPENDENT SERVICE PROVIDERS, AND CONTROL OVER THEIR INVESTMENT DECISIONS.

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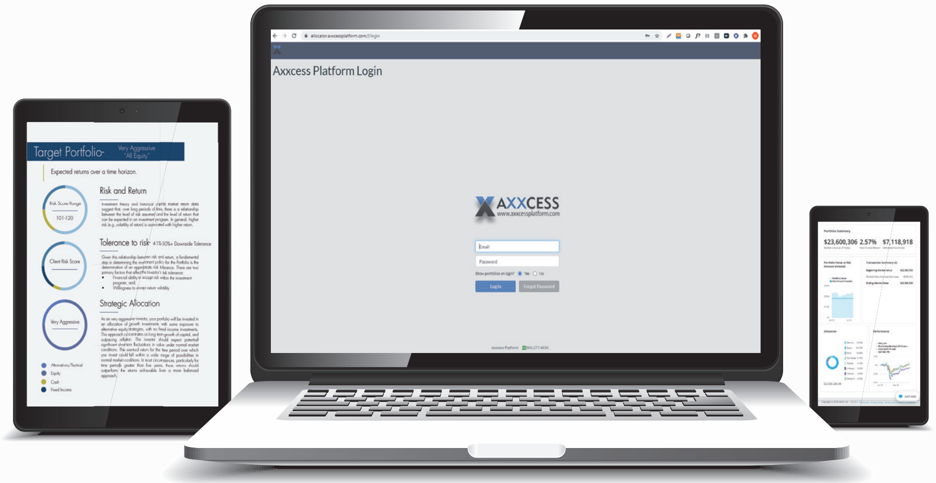
EXPERIENCE THE PLATFORM



Our platform is built for an upscale experienced clientele looking to improve the implementation of their investment stratgey, and access high caliber opportunities through a relationship with an independent advisory firm.

Axxcess Platform services are designed to overcome traditional limitations imposed by firms seeking to distribute and sell their own products and services. Axxcess combines true alternatives like private equity, private credit, hedge funds and directed real estate alongside traditional SMA strategies. Client investments of all types can be reported comprehensively on the Axxcess Platform.

MULTI-ASSET CLASS FOR ACCREDITED AND QUALIFIED PURCHASERS.

Client can see more and do more on the Axxcess Platform. Gen answers to your questions about planning, investmests, and financial position.

Built with leading encryption, SEC and FINRA compliant reporting systems, our technology is empowering a simple approach to complex estatates and client situraionts.

Axxcess Platform was built from years of running family offices. We understand the challenges and bring the solution to clients of all levels of wealth and complexity.

PROCESS

WE FOCUS ON INVESTMENT AND WEALTH MANAGEMENT SOLUTIONS BY APPROACHING EACH CLIENT INDIVIDUALLY. WE DO NOT MANAGE A ONE SIZE FITS ALL MODEL PORTFOLIO FOR CLIENTS. INSTEAD, OUR CLIENTS RECEIVE SENSIBLE, EFFECTIVE ADVICE, ALONG WITH A FIRM COMMITMENT TO COLLABORATE WITH OTHER PROFESSIONALS. WE OFFER CLIENTS OPEN ARCHITECTURE, WITH A FULL ARRAY OF WEALTH MANAGEMENT AND INVESTMENT ADVISORY SERVICES. WE WORK WITH CPA'S & ESTATE ATTORNEYS TO STAY ON TOP OF OUR CLIENT'S GOALS. OUR CUSTOMIZED INVESTMENT STRATEGIES OFFER INVESTORS A STAND-ALONE SOLUTION TO FULFILL THEIR OBJECTIVES.

AXXCESS ALLOCATOR

Axxcess allows clients and advisors to stress the outcomes of real world scenarios, and the model the potential impact of investment and allocation decisions. Clients and advisors are better informed, and can make foundational decisions based on stress testing current and future risks.

FAR FEWER ACCOUNTS

Axxcess Platform allows clients to reduce the number of accounts required to implement an investment strategy. Third party managers can trade their strategies inside a single brokerage account. Advisors can customize allocations with ease.

TRUE OPEN ARCHITECTURE

Traditional Custodians like Schwab, Fidelity IWS, and Ameritrade as well as direct, and self directed options and our Private Asset Platform.

CONSOLIDATED REPORTING

Connect bank, brokerage, mortgage, business and liability statements digitally in a single secure portal. Report accross your entire household.

CLIENT FOCUSED

OUR RESOURCES PROVIDE YOU WITH SPECIALISTS IN PORTFOLIO MANAGEMENT, ADVISORY, AND FAMILY OFFICE SERVICES

Our clients receive sensible, effective advice, along with a firm commitment to collaborate with other professional advisers. We offer clients open architecture, with a full array of wealth management and investment advisory services.

PLANNING

We deliver sophisticated goal-based financial plans that integrate seamlessly with investment plans.

PORTFOLIO

We utilize industry-leading rules-based re-balancing that seamlessly scales across asset class and individual holdings. Our sleeve strategy approach creates management efficiency and lower cost.

REPORTING

We create highly customized proposals and statements to ensure reporting meets your needs.

"With access to an open universe of ETF's, stocks, mutual funds, fixed income, SMA strategies, and select hedge fund, private equity, real estate, and private debt, we built our reporting platform to deliver a comprehensive view of your wealth"

CORY PERSSON, CFA CFS
DIRECTOR OF INVESTMENTS

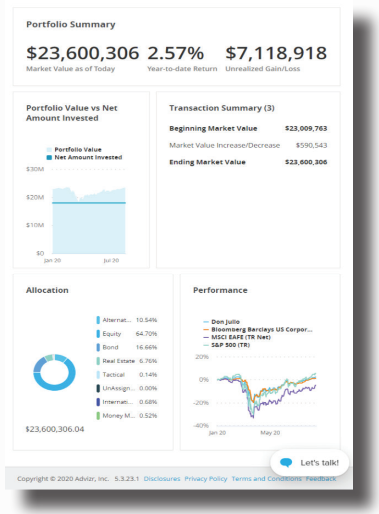
AXXCESS PLATFORM

WEALTH MANAGEMENT

Axxcess has run several family offices over the past 20 years. Families whose wealth derived primarily from operating businesses and real estate. Forced to manually reconcile non traditional assets alongside SMA accounts, we learned a lot about the limitations of technology along the way. Today, technology has made significant leaps in our business.

Digital storage, client vaults, and the ability to aggregate outside accounts directly into our client reporting system. Digitally sign all business forms, or use paper forms where needed. All from the same systems.

Performance reporting at the household, registration, account, security and strategy levels.



We can apply a quantitative approach to test economic and market scenarios that may help you make more prudent investment and financial decisions.

Whether you are looking for a tactical strategy that can help your portfolio dynamically adjust to changing market conditions or an equity income strategy that can deliver a fixed income approach to equity investing, we can construct, implement, and monitor the solution.

Axxcess Advisors have the ability to solve a wide range of client challenges. From simple cash flow planning and goal-based assessments to detailed tax analysis and estate planning, Axxcess has experience to address your goals and objectives. Axxcess Advisors empower clients by integrating their planning results in real time, directly into the Axxcess Client Portal.

PARTNER WITH US

Axxcess has in-depth knowledge of family planning objectives, and is well equipped to assess the complexity, investment horizon, and navigate the vast set of investment opportunities, and assist our clients in making informed decisions about the allocation and preservation of capital.

We will begin with the roles, responsibilities and objectives of the our clients, incorporating business, tax, family governance, and generational considerations. We work as a fiduciary, are fee based, and aligned with you.

Aggregated Reporting

Performance reporting at the household, registration, account, security and strategy levels.

Axxcess Portal

From within the portal, you will be able to track performance, holdings, balances and cashflows for your held away, and Axxcess managed accounts.

All your strategies in one account

We will review and organize current allocations, bank financing/lending arrangements, and risk management.

Axxcess reports on direct real estate, private equity, hedge funds and private credit investments.

We offer clients open architecture, with a full array of wealth management and investment advisory services.

Work with our Advisors to provide you guidance, and choose from managed solutions with third party investment managers.

PARTNER WITH US.

Wealthy Families typically treat investment management, lifestyle, business and estate planing functions as one interrelated plan.

Get in touch with an advisor

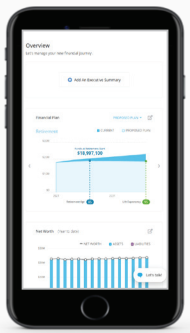
Expertise – Benefit from our considerable experience and leverage our knowledge, relationships, and infrastructure.

Link your accounts

Visibility – All of your holdings can be accessed in one place, in a secure online portal, daily.

Digital, simple, customized

Rich content is customized in both report and web interfaces allowing the client and the Advisor to view the information how they want to see it.



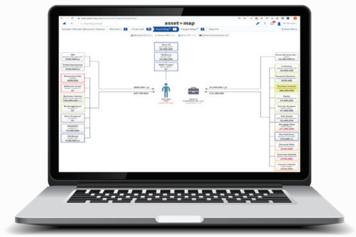
Experience what Axxcess has to offer before becoming a client. Add existing accounts and holdings securely using our aggregation technology. From there, your Advisor can stress test your existing portfolio, create proposals, and model scenario analysis

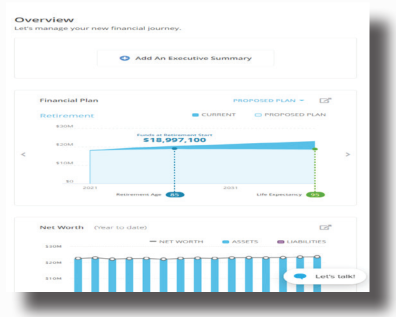
THE AXXCESS PLATFORM

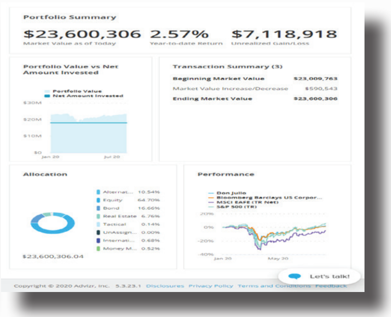
AXXCESS HAS DEVELOPED OUR PLATFORM BASED ON YEARS OF RUNNING FAMILY OFFICES BY CONSTRUCTING PORTFOLIOS WITH MANAGERS WHO HAVE PROVEN TRACK RECORDS OF GENERATING SUPERIOR RISK ADJUSTED RETURNS RELATIVE TO THEIR BENCHMARKS.

The Platform approach delivers access to the model portfolios of a wide variety of third party investment managers. The Axxcess Platform is a nationally recognized TAMP (Turn Key Asset Management Platform) used by indepedent RIA firms and Broker Dealers that turn to Axxcess to deliver investment operations, compliance, and trading solutions to support their independent businesses.

More than 40 Asset Management firms trade their proprietary strategies directly with Axxcess. These subadvisor relationships deliver a unique , tax efficient portfolio solution to our clients that eliminate costly layers of fees, and delivers the portfolio strategies of some of the worlds preminent asset managers.

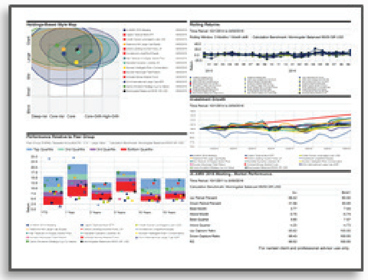


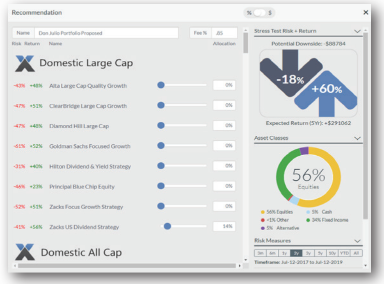


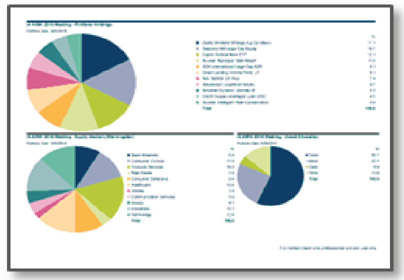


FINANCIAL PLANNING

Axxcess provides comprehensive planning for clients. From retirement income gap analysis to complex estate and succession planning, Axxcess Advisors have the ability to solve a wide range of client challenges. From simple cash flow planning and goal-based assessments to detailed tax analysis and estate planning, Axxcess has experience to address your goals and objectives. Axxcess Advisors empower clients by integrating their planning results in real time, directly into the Axxcess Client Portal. Axxcess will tailor planning to you, modeling what-if scenarios, and stress testing your current allocations, and cash flow requirements.







ASSET ANALYSIS

Our stress test scenarios can be used with a wide range of asset classes including stocks, bonds, ETFs, mutual funds, closed-end funds, options, separately managed accounts, hedge funds, and non-traded REITs.

SCENARIO ANALYSIS

A scenario is a representation of a macro-economic or geopolitical event which has the potential to impact investment returns. We can design custom scenarios that reflect individual investor concerns, or apply our broad catalog of risk scenarios to a portfolio to identify risk factors.

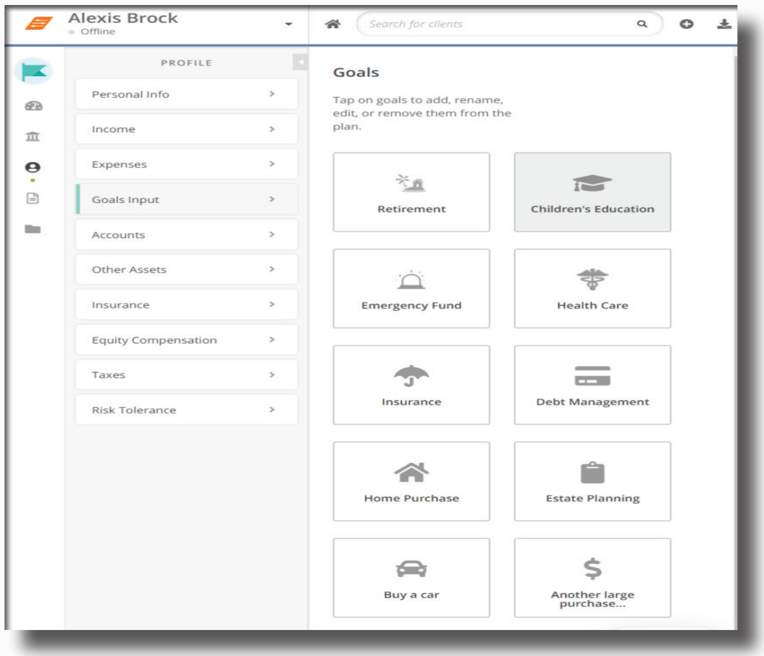
WEALTH MANAGEMENT

Axxcess blends internally managed strategies with rigorously-selected third party managers, best-in-class third party mutual fund, ETF, hedge fund, and private equity managers to achieve a comprehensive platform of customized investment strategies.

THE CLIENT EXPERIENCE
WEALTH PLANNING

Developed to meet the needs to accredited investors and family offices, the Axxcess Customized Portfolio Platform (ACPP) is powerful, yet simple solution to challenges that face many investors.

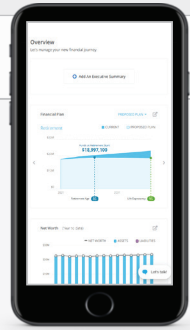
Investors demand institutional-quality managers, analytical technology, maximum transparency, independent service providers, and control over their investment decisions.



AXXCESS IS A LEADER IN TAILORING A FULL SUITE OF CUSTOMIZED PORTFOLIO SERVICES

Axxcess helps investors expand their investment holdings, and better reduce downside exposure to loss.

Using technology to create integrated statement and reports for qualified and accredited investors' Directed Real Estate, Partnerships, Private Placements, Hedge Funds, Private Equity and Private Credit, Axxcess delivers a comprehensive view of your wealth.



MOBILE

Download our application from the Apple App Store, and Google Play for Android. Simply search Axxcess Wealth.

REPORTING

We built our reporting platform to deliver a comprehensive view of your wealth- both online and on paper.

CLIENT EXPERIENCE

Managing substantial wealth is an enormous responsibility. It requires experienced advice, specialized knowledge and customized solutions. As your “Chief Investment Officer”, we listen and work to understand your comprehensive financial situation and recommend innovative, customized solutions precisely targeted to achieve your objectives.

01. OUR SERVICE

Rich content is customized in both report and web interfaces allowing the client and the Advisor to view the information how they want to see it.

02. HOLISTIC

View all of your accounts, including workplace retirement and private assets.

03. OPEN ARCHITECHURE

Custody provided by Charles Schwab, TD Ameritrade, Morgan Stanley, Goldman Sachs, NFS, Pershing, LLC and several trust companies.*

04. CORPORATE/ FIDUCIARY SERVICES

Our consultative approach helps clients understand and carry out their roles and responsibilities as Plan fiduciaries as established by ERISA.

05. FAMILY WEALTH/ LEGACY PLANNING

We will begin with the roles, responsibilities and objectives of the our clients, incorporating business, tax, family governance, and generational considerations.

06. INSTITUTIONAL PARTNERS

Investors demand institutional-quality managers, analytical technology, maximum transparency, independent service providers, and control over their investment decisions

Whether you are looking for a tactical strategy that can help your portfolio dynamically adjust to changing market conditions or an equity income strategy that can deliver a fixed income approach to equity investing, we can construct, implement, and monitor the solution.

These materials have been independently produced by Axxcess Wealth. Axxcess is independent of, and has no affiliation with any of the instituional partners mentioned herein. Custodians are registered broker-dealers and member SIPC. None of the custodians have created, supplied, licensed, endorsed, or otherwise sanctioned these materials nor have they independently verified any of the information herein.Axxcess provides you with investment advice, while the custodians maintain custody of your assets in a brokerage account and will effect transactions for your account on our instruction

How we work with our clients

Clients engage Axxcess Wealth Management directly or through their Advisory firm or Broker Dealer that has signed an agreement with Axxcess to allow us to provide certain services to you. You will receive our FORM ADV and sign an engagement agreement. Your Advisor will document you unique situation, and often develop a written plan or investment policy statement.

YOUR ADVISOR

Your Advisor is your primary contact and takes the lead in communicating with you. Axxcess leverages leading portfolio management tools to bring comprehensive portfolio reporting to clients. We remain continuously available to meet in person, and over the phone. Quarterly and Annual reports, along with client reviews will keep you informed about the decisions and transactions in your accounts. Axxcess will manage your account on either a limited, or fully discretionary manner. Please refer to our Form ADV Brochure for more complete information about fees, services, reporting, and our fiduciary duties to you.

Please remember that past performance is not indicative of future results. Different types of investments involve varying degrees of risk, and there can be no assurance that the future performance of any specific investment, investment strategy, or product made reference to directly or indirectly on this website, would be suitable for your portfolio.

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YOUR ADVISORS

Tim Figueroa is an Investment Advisor with Axxcess Wealth Management. He was born and raised in beautiful Santa Barbara, California and is a Fifth generation Santa Barbarian. Tim attended Bishop Garcia Diego High School, and went on to graduate Cum Laude and earn a B.S.B.A. Degree in Finance from Suffolk University in Boston. While attending college full-time, Tim obtained his Real Estate license and worked in the Real Estate industry as well as several finance internships over the summers. Prior to joining Axxcess Wealth Management, Tim worked as an Investment Associate analyzing clients' overall portfolios at a boutique private wealth management firm serving Ultra High Net Worth families, with a primary focus on Alternative Investments. Tim thoroughly enjoys volunteer work, participates in the local Food Bank drives and is vice president of the Sunset Rotary Club of Carpinteria. Tim's hobbies include reading and investing, enjoying the occasional round of golf, and hiking in the beautiful outdoors of Santa Barbara.

Lauren VanVeelen is a Client Advisor and Investment Operations Manager for Axxcess Wealth. Prior to Axxcess, Lauren supported financial planning and operational functions for a boutique private investment firm in Santa Barbara, highly focused on alternative investment strategies. She has another nine years of experience with a multi-billion-dollar Multi-Family Office servicing high-net worth individuals, families and charitable foundations across the U.S. With an extensive background in finance and operations, Lauren brings a wide-array of client and financial planning services to our team.

Lauren received a Bachelor of Arts degree in Business Economics and a Certificate of Professional Financial Planning from the University of California Santa Barbara. She is a Registered Fiduciary, a Notary Public and serves as the President of the Board for Little Angels Preschool. Lauren has always had a strong passion for both sports and music and has been singing professionally since the age of thirteen. She frequently plays with local bands at fundraising and community events. Lauren is a third generation Santa Barbarian and lives in Goleta.

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