

## CONTACT

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# AXXCESS PLATFORM TAMP SERVICES

Axxcess helps advisors better address the needs of their businesses.

Axxcess integrates third party investment managers alongside real estate, private equity, and hedged investments to create a unique Platform to transform your wealth management experience.

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PLUG IN AND DISCOVER HOW THE  
AXXCESS PLATFORM CAN ENHANCE YOUR  
CLIENT EXPERIENCE.

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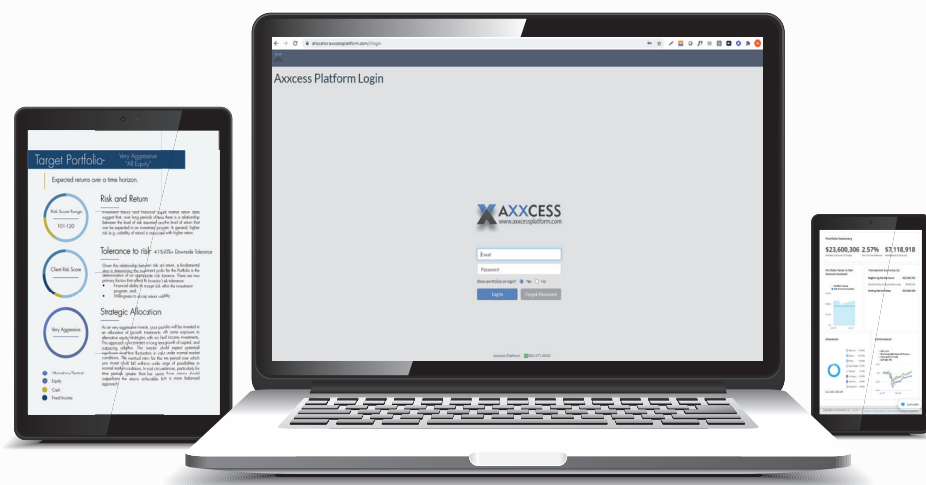
# AXXCESS PLATFORM

## A TAMP PLATFORM FOR ACCREDITED INVESTORS AND THE ADVISORS THAT SERVE THEM.

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# EXPERIENCE THE PLATFORM



Our platform is built for an upscale experienced clientele looking to improve the implementation of their investment strategy, and access high caliber opportunities through a relationship with an going independent advisory firm.

Axxcess Platform services are designed to overcome traditional limitations imposed by firms seeking to distribute and sell their own products and services. Axxcess combines true alternatives like private equity, private credit, hedge funds and directed real estate alongside traditional SMA strategies. Client investments of all types can be reported comprehensively on the Axxcess Platform.

*Our platform is built for the experienced Advisor looking to improve its current RIA platform, or as an operational solution for a high caliber professional thinking of going independent and seeking a seamless transition.*



Client can see more and do more on the Axxcess Platform. Get answers to your questions about planning, investments, and financial position.



Built with leading encryption, SEC and FINRA compliant reporting systems, our technology is empowering a simple approach to complex estates and client situations.



Axxcess Platform was built from years of running family offices. We understand the challenges and bring the solution to clients of all levels of wealth and complexity.

# SLEEVE STRATEGIES

Performance reporting at the household,  
registration, security, and strategy levels



Axxcess integrates third party investment managers alongside real estate, private equity, and hedged investments to create a unique UMA/TAMP Platform to transform your Wealth Management practice.

TAMP services are inherently limited to traditional equity and ETF strategies. Axxcess combines true alternatives like private equity, private credit, hedge funds and directed real estate alongside traditional SMA strategies.

Each custodial account can be sleeved to incorporate advisor directed, and third party managed strategies. Apply unique fee schedules on each sleeve, and report on each strategy, with performance at the sleeve, and security level for each strategy.



The Axxcess Platform helps advisors deliver a portfolio solution that is both scalable, and customized for the unique needs of your client base.



Our clients include single and multi-family offices, traditional wealth advisory firms and money managers.



UMA/UMH technology on your choice of custodian. Private asset platform/AIP. Model and Trade order management execution across your business.

# MULTI-ASSET CLASS FOR ACCREDITED AND QUALIFIED PURCHASERS.

**BLEND TRADITIONAL SMA STRATEGIES WITH PRIVATE EQUITY, PRIVATE CREDIT, AND HEDGE FUNDS IN THE SAME ACCOUNT.**

We integrate and Advisory firms internally managed strategies with rigorously-selected third party managers, best-in-class third party mutual fund, ETF, hedge fund, and private equity managers to achieve a comprehensive platform of customized investment strategies.



## **AXXCESS ALLOCATOR**

Axxcess allows clients and advisors to stress the outcomes of real world scenarios, and the model the potential impact of investment and allocation decisions. Clients and advisors are better informed, and can make foundational decisions based on stress testing current and future risks.



## **TRUE OPEN ARCHITECTURE**

Traditional Custodians like Schwab, Fidelity IWS, and Ameritrade as well as direct, and self directed options and our Private Asset Platform.



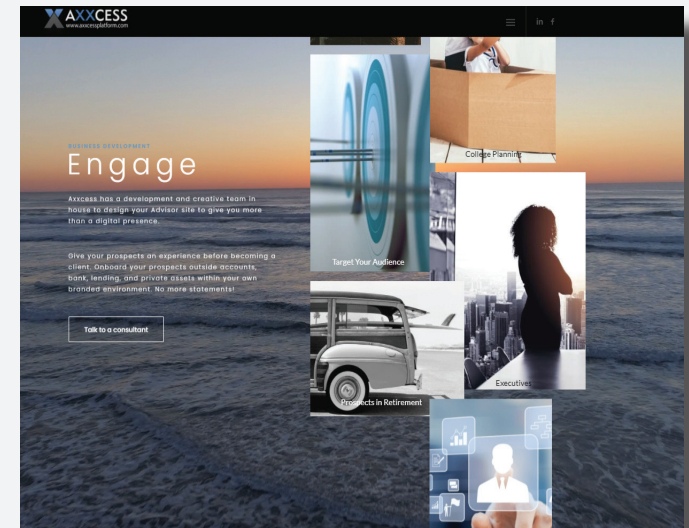
## **FAR FEWER ACCOUNTS**

Axxcess Platform allows clients to reduce the number of accounts required to implement an investment strategy. Third party managers can trade their strategies inside a single brokerage account. Advisors can customize allocations with ease.



## **CONSOLIDATED REPORTING**

Connect bank, brokerage, mortgage, business and liability statements digitally in a single secure portal. Report across your entire household.



# DELIVER A CLIENT FOCUSED SOLUTION

Our Advisor Partners can deliver sensible, effective advice, customized and built around and in support their brand, not ours. Axxcess operates an open architecture, with a full array of services to support your firms wealth management and investment advisory services

## PLANNING

Integrated delivery of sophisticated goal-based financial plans that integrate seamlessly with investment plans.



## PORTFOLIO

We utilize industry-leading rules-based re-balancing that seamlessly scales across asset class and individual holdings. Our sleeve strategy approach creates management efficiency and lower cost.



## REPORTING

We create highly customized proposals and statements to ensure reporting meets your needs.

“ With access to an open universe of ETF's, stocks, mutual funds, fixed income, SMA strategies, and select hedge fund, private equity, real estate, and private debt, we built our investment operations platform to deliver a comprehensive view of your wealth ”



**CORY PERSSON, CFA, CFS**  
**DIRECTOR OF INVESTMENTS**

# AXXCESS PLATFORM BACKGROUND

Axxcess has run several family offices over the past 20 years. Families whose wealth derived primarily from operating businesses and real estate. Forced to manually reconcile non traditional assets alongside SMA accounts, we learned a lot about the limitations of technology along the way. Today, technology has made significant leaps in our business.

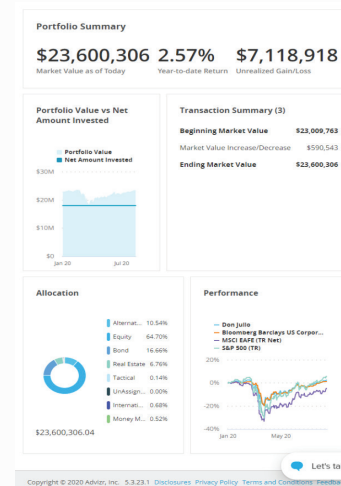
Digital storage, client vaults, and the ability to aggregate outside accounts directly into our client reporting system. Digitally sign all business forms, or use paper forms where needed. All from the same systems.



Whether you are looking for a tactical strategy that can help your portfolio dynamically adjust to changing market conditions or an equity income strategy that can deliver a fixed income approach to equity investing, we can help you construct, implement, and monitor the solution.



Performance reporting at the household, registration, account, security and strategy levels.



We can apply a quantitative approach to test economic and market scenarios that may help you make more prudent investment and financial decisions.

Help your clients experience what your firm has to offer before becoming a client. Add existing accounts and holdings securely using our aggregation technology. Built into your firms corporate website, your clients and prospects onboarding is customized to your firms processes, messaging and workflows.



# PARTNER WITH US

Axxcess has in-depth knowledge of RIA firms growth and operational objectives, and is well equipped to assess the implementation process to solve your challenges.

Axxcess partners with firms as sub-advisor. Our TAMP platform is approved on most major custodial platforms, and the client onboarding process is completely digital. Work with us on a single account, group of clients or your book of business.



## Aggregated Reporting

Performance reporting at the household, registration, account, security and strategy levels.



## Axxcess Portal

From within the portal, you will be able to track performance, holdings, balances and cashflows for your held away, and Axxcess managed accounts.



## All your strategies in one account

We will review and organize current allocations, bank financing/lending arrangements, and risk management.



Axxcess reports on direct real estate, private equity, hedge funds and private credit investments.



We offer clients open architecture, with a full array of wealth management and investment advisory services.



Work with our Advisors to provide you guidance, and choose from managed solutions with third party investment managers.

## PARTNER WITH US.

Working with Axxcess, our Advisor Platform Partners enjoy a fully branded, integrated, and reconciled Investment Platform that organizes sophisticated investment approach into a consolidated view of all of their clients wealth.



Get in touch with an  
advisor

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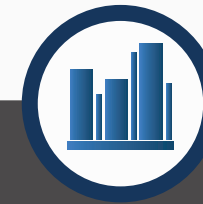
Expertise – Benefit from our considerable experience and leverage our knowledge, relationships, and infrastructure.



Link your accounts

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Visibility – All of your holdings can be accessed in one place, in a secure online portal, daily.



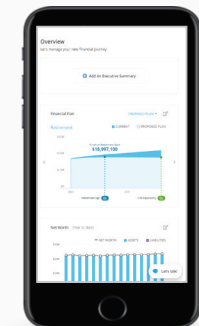
Digital, simple, customized

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Rich content is customized in both report and web interfaces allowing the client and the Advisor to view the information how they want to see it.

### WHAT ARE YOUR PRIORITIES?

How do you deliver service to your existing clients, earn new relationships, and manage your portfolios while keeping your overhead and margins intact? Your competitors today are the very fund companies you place money with. They are giving their best “asset allocation” models away for free. Your value proposition is changing very quickly and firms with resources are re-positioning themselves to compete.

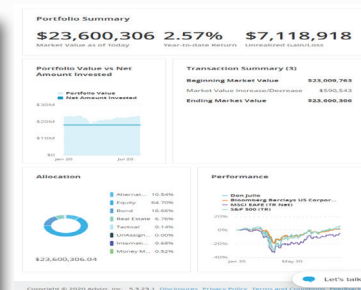
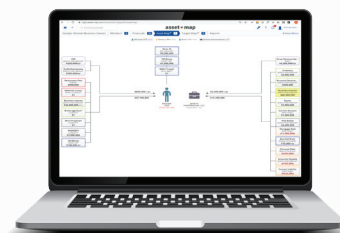


## THE AXXCESS PLATFORM

AXXCESS HAS DEVELOPED OUR PLATFORM BASED ON YEARS OF RUNNING FAMILY OFFICES BY CONSTRUCTING PORTFOLIOS WITH MANAGERS WHO HAVE PROVEN TRACK RECORDS OF GENERATING SUPERIOR RISK ADJUSTED RETURNS RELATIVE TO THEIR BENCHMARKS.

The Platform approach delivers access to the model portfolios of a wide variety of third party investment managers. The Axxcess Platform is a nationally recognized TAMP (Turn Key Asset Management Platform) used by independent RIA firms and Broker Dealers that turn to Axxcess to deliver investment operations, compliance, and trading solutions to support their independent businesses.

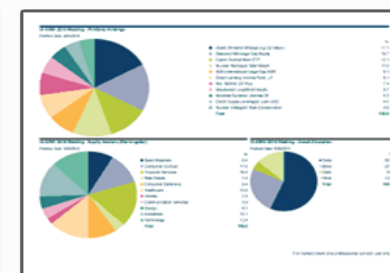
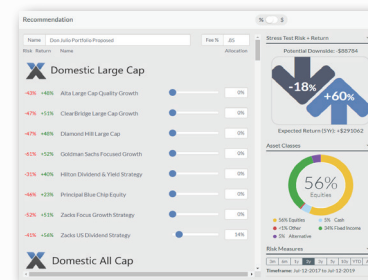
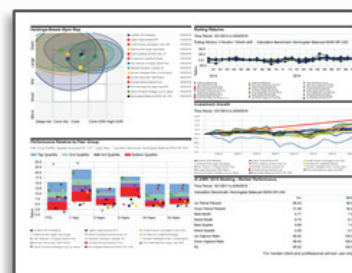
More than 40 Asset Management firms trade their proprietary strategies directly with Axxcess. These subadvisor relationships deliver a unique, tax efficient portfolio solution to our clients that eliminate costly layers of fees, and delivers the portfolio strategies of some of the world's preminent asset managers.



## BILLING AND REPORTING

Create tiered or flat billing schedules. Track each payee individually, and build payment waterfalls to easily pay multiple reps, solicitors, broker dealers, and firms. With access to an open universe of ETF's, stocks, mutual funds, fixed income, SMA strategies, and select hedge fund, private equity, real estate, and private debt, we built our reporting platform to deliver a comprehensive view of your wealth- both online and on paper. We will brand your portal application for your clients to download from the Apple App Store, and Google Play for Android. Engaging reports are customized to create your firms unique client deliverable.

create your firms unique client deliverable.



## ASSET ANALYSIS

Our stress test scenarios can be used with a wide range of asset classes including stocks, bonds, ETFs, mutual funds, closed-end funds, options, separately managed accounts, hedge funds, and non-traded REITs.

## SCENARIO ANALYSIS

A scenario is a representation of a macro-economic or geopolitical event which has the potential to impact investment returns. We can design custom scenarios that reflect individual investor concerns, or apply our broad catalog of risk scenarios to a portfolio to identify risk factors.

## WEALTH MANAGEMENT

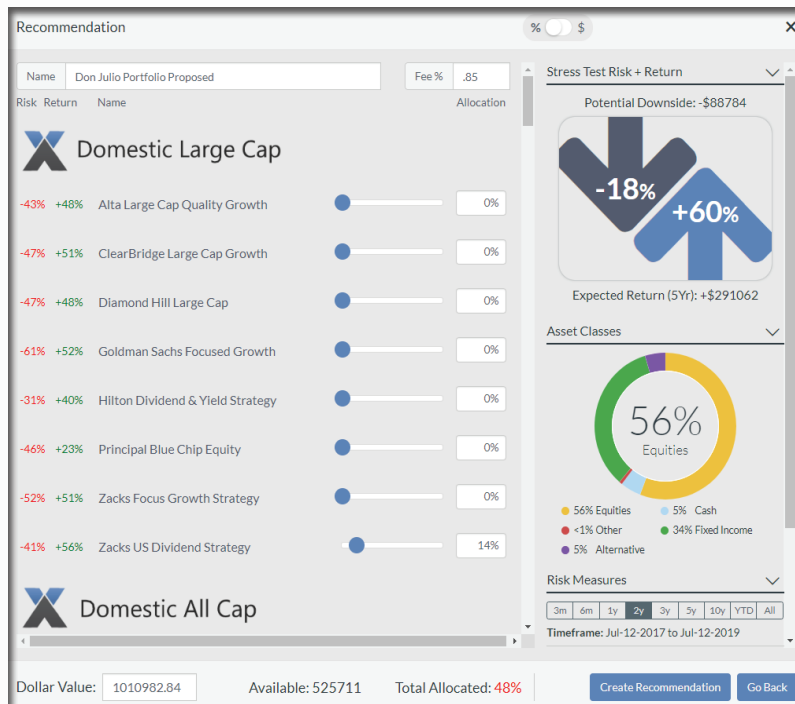
Axxcess blends internally managed strategies with rigorously-selected third party managers, best-in-class third party mutual fund, ETF, hedge fund, and private equity managers to achieve a comprehensive platform of customized investment strategies.

# THE AXXCESS ALLOCATOR

## MODEL/STRATEGY BLENDER

Developed to meet the needs to accredited investors and family offices, the Axxcess Customized Portfolio Platform (ACPP) is powerful, yet simple solution to challenges that face many investors.

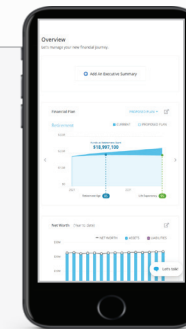
Investors demand institutional-quality managers, analytical technology, maximum transparency, independent service providers, and control over their investment decisions. The Axxcess Allocator is an end to end tool for Advisors



## AXXCESS IS A LEADER IN TAILORING A FULL SUITE OF CUSTOMIZED PORTFOLIO SERVICES

The Allocator provides optimization, hedging, and stress testing on both current and proposed allocations.

*Both current Client and Prospect accounts are aggregated through the firms corporate website and integrated directly and seamlessly into the Axxcess Allocator. Generate customized proposals, IPS, and stress tests.*



## ACCEPT AND ALLOCATE

Create a multi manager sleeved UMA account and allocate digitally. ADV's and Third Party SMA addendums are digitatly delivered and signed.

## MANAGER RESEARCH



Research strategies, including alternatives within the Axxcess Allocator.

# ADVISOR EXPERIENCE-BACK OFFICE

Managing substantial wealth is an enormous responsibility. It requires experienced advice, specialized knowledge and customized solutions. As your “Chief Investment Officer”, we listen and work to understand your comprehensive financial situation and recommend innovative, customized solutions precisely targeted to achieve your objectives.



## 01. CRM

CRM that does business operations, invoicing, project management, and compliance tracking.



## 04. OPEN API/TECH

Our core platform is built on Orion Advisor Tech, customized child database structure, with open APIs to ensure your unique workflows and processes are scalable.



## 02. CLM

Contract lifecycle management. Digital document tracking, creation, fully integrated customized to your brand.



## 05. TRANSITIONS

Axxcess will take a lead role in your transition and onboarding, including compliance and regulator guidance.



## 03. CAMPAIGNS

Digital marketing, traditional campaign management, with branded collateral, social media integration and post distribution, tracked and integrated with your digital media channels and CRM.



## 06. COMPLIANCE

Our compliance support package creates your historical trade blotters, fee billing reports, ADV logs, and more. Track your supervisory processes, and internal books and records audits, and supervisory tools.

Whether you are looking for a tactical strategy that can help your portfolio dynamically adjust to changing market conditions or an equity income strategy that can deliver a fixed income approach to equity investing, we can construct, implement, and monitor the solution.



## How we work with our Advisor Partners

RIA firms stuck with legacy systems that don't offer reconciliation, online portfolio reporting, and multi-manager, multi-asset capabilities. You can't upgrade your systems due to expense, and time. The prospect of changing your legacy systems feels like heart surgery. It is. We can help you get make this transition quickly and efficiently. Ask us about our transition and onboarding processes



### AXXCESS

Our platform transforms your proposal, IPS generation, account onboarding, and client servicing models. *We use technology to enhance the personal services an Advisor must provide to their clients to stay relevant, and competitive.* We enable you to spend more time being effective managing your business growth, and retention. Move your business upstream one client at a time.

# AXXCESS

## TAMP SERVICES

Axxcess helps Advisors better address the needs  
of their business.

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