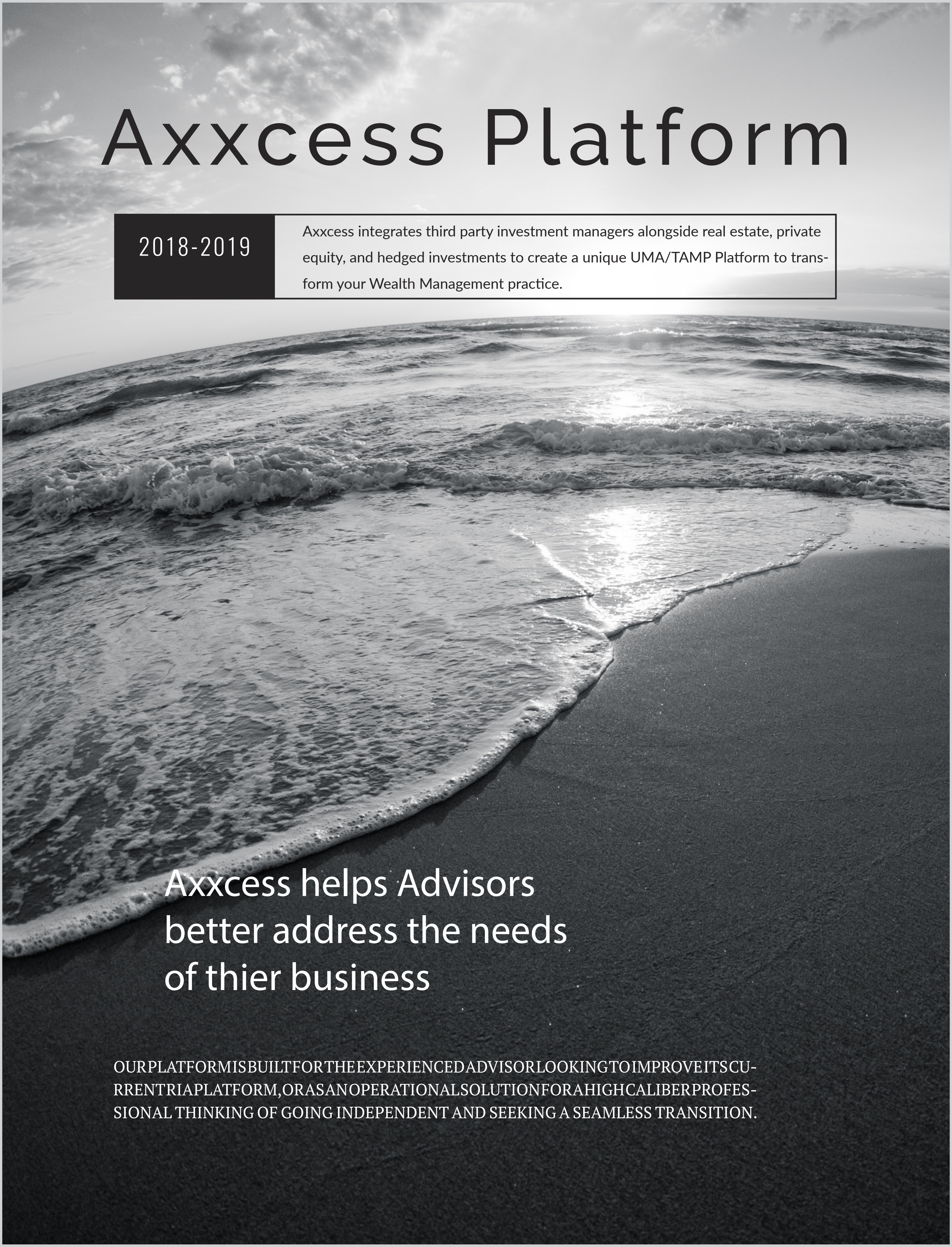


Axxcess Platform

2018-2019

Axxcess integrates third party investment managers alongside real estate, private equity, and hedged investments to create a unique UMA/TAMP Platform to transform your Wealth Management practice.

Axxcess helps Advisors better address the needs of thier business

OUR PLATFORM IS BUILT FOR THE EXPERIENCED ADVISOR LOOKING TO IMPROVE ITS CURRENT RIA PLATFORM, OR AS AN OPERATIONAL SOLUTION FOR A HIGH CALIBER PROFESSIONAL THINKING OF GOING INDEPENDENT AND SEEKING A SEAMLESS TRANSITION.

Welcome

CONTENT

01

Axxcess Platform- Intro

We offer Advisors open architecture, with a full array of wealth management and investment advisory services to move their practice upstream.

02

Core Functionality

How do you deliver service to your existing clients, earn new relationships, and manage your portfolios while keeping your overhead and margins intact? Your competitors today are the very fund companies you place money with. They are giving their best “asset allocation” models away for free. Your value proposition is changing very quickly and firms with resources are re-positioning themselves to compete.

03

Investment Operations

TAMP services are inherently limited to traditional equity and ETF strategies. Axxcess combines true alternatives like private equity, private credit, hedge funds and directed real estate alongside traditional SMA strategies.

Axxcess integrates third party investment managers alongside real estate, private equity, and hedged investments to create a unique UMA/TAMP Platform to transform your Wealth Management practice.

04

Middle and Back Office

RIA firms stuck with legacy systems that dont offer reconciliation, online portfolio reporting, and multi-manager, multi asset capabilities. You cant upgrade your systems due to expense, and time. The prospect of ~~changing your~~ legacy systems feels like heart surgery. It is. We can help you get make this transition quickly and efficiently.

05

Business Development

Our platform transforms your proposal, IPS generation, account onboarding, and client servicing models.
We use technology to enhance the personal services an Advisor must provide to their clients to stay ~~relevant, and~~ competitive.
We enable you to spend more time being effective managing your business growth, and retention.

06

Your Relationship With Us

Working with Axxcess, our Advisor Platform Partners enjoy a fully branded, integrated, and reconciled Investment Platform that organizes sophisticated investment approach into a consolidated view of all of their clients wealth.

Experience

With access to an open universe of ETF's, stocks, mutual funds, fixed income, SMA strategies, and select hedge fund, private equity, real estate, and private debt, we built our reporting platform to deliver a comprehensive view of your wealth- both online and on paper. We will brand your portal application for your clients to download from the Apple App Store, and Google Play for Android.

Welcome

THE FIRST SECTION

01 *We leverage technology to enhance the personal services an Advisor must provide to their clients to stay relevant, and competitive.*

Axxcess integrates third party investment managers alongside real estate, private equity, and hedged investments to create a unique UMA/TAMP Platform to transform your Wealth Management practice.

HOW IT STARTED

OUR PLATFORM IS BUILT FOR THE EXPERIENCED ADVISOR LOOKING TO IMPROVE ITS CURRENT RIA PLATFORM, OR AS AN OPERATIONAL SOLUTION FOR A HIGH CALIBER PROFESSIONAL THINKING OF GOING INDEPENDENT AND SEEKING A SEAMLESS TRANSITION.

Axxcess has run several family offices over the past 20 years. Families whose wealth derived primarily from operating businesses and real estate. Forced to manually reconcile non traditional assets alongside SMA accounts, we learned a lot about the limitations of technology along the way. Today, technology has made significant leaps in our business. However, Advisors are generally slow adopters of technology and legacy systems are challenging to replace. The truth is, to master a central system like billing and reporting takes time. Depending on the complexity of your business, the time it takes to truly get clients onboarded, historical data integrated, and configure your environment is no less than 6 to 12 months. If you have been prevented from dealing with your clients directed real estate, hedge funds, and private equity by a restrictive firm guidelines, talk to us. We can help you deliver something refreshing and unique to you and your clients.

WHATS A GOOD FIT?

Experienced Advisors working on wirehouse platforms thinking about going independent who have exposure to firm proprietary deals, and clients that have complex needs.

Advisors tired of wearing the business development, and portfolio manager hat. If your differentiation is your ETF model that you are running on a hybrid platform, we can help you scale your business with your “A” clients. These are the folks you are spending 90% of your time on, who contribute more than 5o% of your revenue. You have to build a moat around them. Your ETF portfolio does not accomplish this. These clients have outside investments that dont fit your model. You are at risk of losing them. Talk to us.

RIA firms stuck with legacy systems that dont offer reconciliation, online portfolio reporting, and multi-manager, multi asset capabilities. You cant upgrade your systems due to expense, and time. The prospect of changing your legacy systems feels like heart surgery. It is. We can help you get make this transition quickly and efficiently.

WHAT ARE YOUR PRIORITIES?

How do you deliver service to your existing clients, earn new relationships, and manage your portfolios while keeping your overhead and margins intact? Your competitors today are the very fund companies you place money with. They are giving their best “asset allocation” models away for free. Your value proposition is changing very quickly and firms with resources are re-positioning themselves to compete.

Work with us on an account by account basis, or accross your entire practice.

We have found that if you can help your client track and report on their inefficient assets, their liquid assets will come under management. Track, bill, and report on directed investments in the same account that houses traditional SMA, Fixed Income, Mutual Fund, and ETF strategies.

Report, monitor, and analyze hedge fund, private equity, private credit and real estate within the same Advisor branded report portal.

Blend traditional SMA strategies with private equity, private credit, and hedge funds in the same account.

We combine internally managed strategies with rigorously-selected third party managers, best-in-class third party mutual fund, ETF, hedge fund, and private equity managers to achieve a comprehensive platform of customized investment strategies.

Our platform provides investment operations and portfolio management solutions, custom reporting, billing, client portal, and CRM integration. Our clients include single and multi-family offices, traditional wealth advisory firms and money managers.

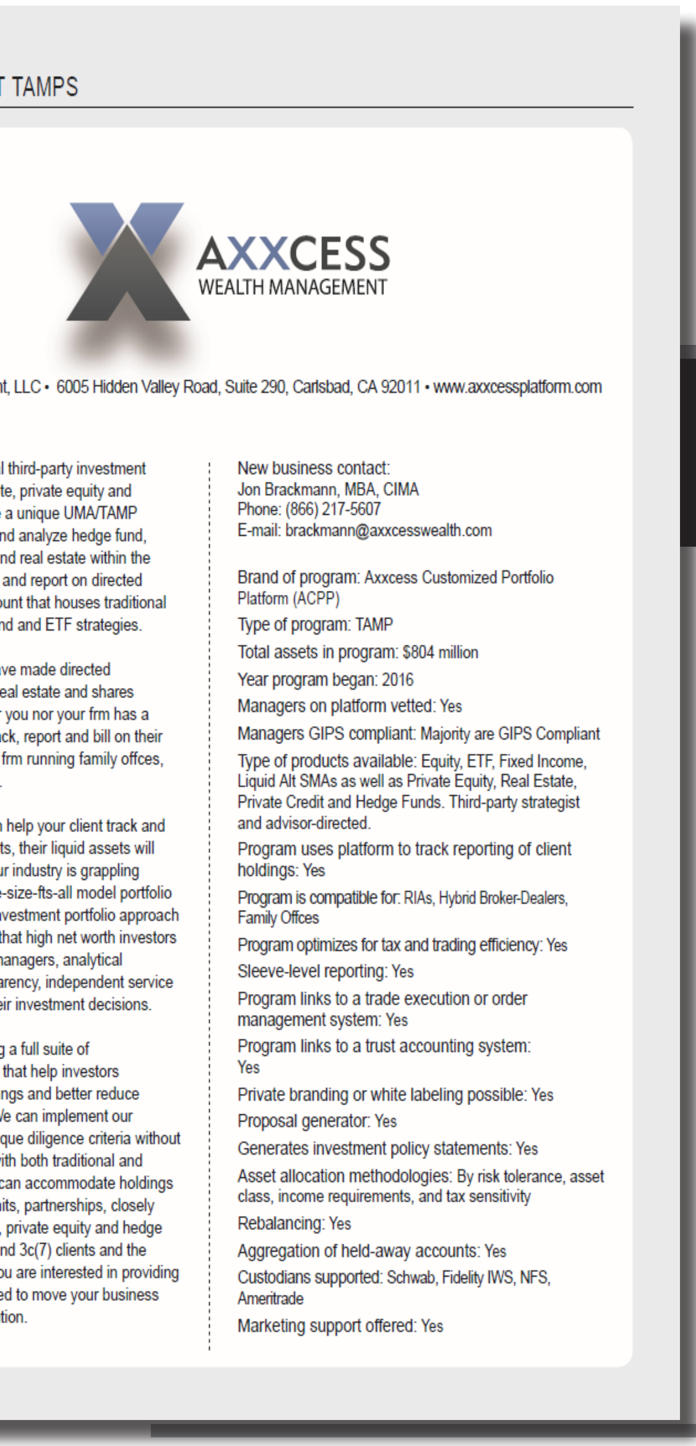
Our platform transforms your proposal, IPS generation, account onboarding, and client servicing models.

We use technology to enhance the personal services an Advisor must provide to their clients to stay relevant, and competitive.

We enable you to spend more time being effective managing your business growth, and retention.

“Move your business upstream one client at a time.”

AXXCESS
www.axxcessplatform.com


T TAMPS

AXXCESS WEALTH MANAGEMENT

nt, LLC • 6005 Hidden Valley Road, Suite 290, Carlsbad, CA 92011 • www.axxcessplatform.com

l third-party investment
te, private equity and
a unique UMA/TAMP
nd analyze hedge fund,
nd real estate within the
and report on directed
unt that houses traditional
nd and ETF strategies.

ve made directed
eal estate and shares
you nor your frm has a
ck, report and bill on their
frm running family offces,
.

help your client track and
ts, their liquid assets will
r industry is grappling
e-size-fts-all model portfolio
vestment portfolio approach
that high net worth investors
anagers, analytical
arency, independent service
ir investment decisions.

g a full suite of
that help investors
ngs and better reduce
e can implement our
que diligence criteria without
ith both traditional and
can accommodate holdings
its, partnerships, closely
, private equity and hedge
nd 3c(7) clients and the
ou are interested in providing
ed to move your business
tion.

New business contact:
Jon Brackmann, MBA, CIMA
Phone: (866) 217-5607
E-mail: brackmann@axxcesswealth.com

Brand of program: Axxcess Customized Portfolio Platform (ACPP)

Type of program: TAMP

Total assets in program: $804 million

Year program began: 2016

Managers on platform vetted: Yes

Managers GIPS compliant: Majority are GIPS Compliant

Type of products available: Equity, ETF, Fixed Income, Liquid Alt SMAs as well as Private Equity, Real Estate, Private Credit and Hedge Funds. Third-party strategist and advisor-directed.

Program uses platform to track reporting of client holdings: Yes

Program is compatible for: RIAs, Hybrid Broker-Dealers, Family Offces

Program optimizes for tax and trading efficiency: Yes

Sleeve-level reporting: Yes

Program links to a trade execution or order management system: Yes

Program links to a trust accounting system: Yes

Private branding or white labeling possible: Yes

Proposal generator: Yes

Generates investment policy statements: Yes

Asset allocation methodologies: By risk tolerance, asset class, income requirements, and tax sensitivity

Rebalancing: Yes

Aggregation of held-away accounts: Yes

Custodians supported: Schwab, Fidelity IWS, NFS, Ameritrade

Marketing support offered: Yes

Axxcess helps Advisors better address the needs of their business”

AxxcessPlatform is a TAMP built specifically to address the needs of Accredited Investors and the Advisors that serve them.

SECOND SECTION

Sleeve Management
UMA/UMH Functionality
Private Asset Platform- PAP
Prospect Portal

02

Core Functionality

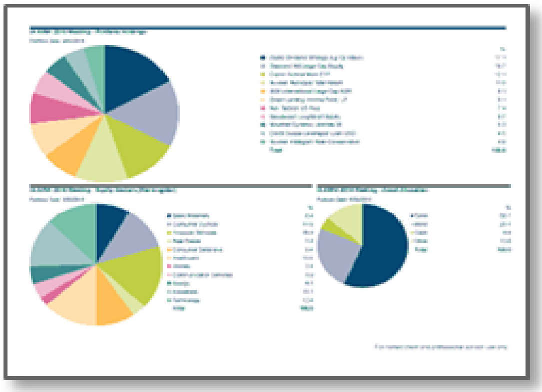
We combine internally managed strategies with rigorously-selected third party managers, best-in-class third party mutual fund, ETF, hedge fund, and private equity managers to achieve a comprehensive platform of customized investment strategies.

MULTI-ASSET CLASS FOR ACCREDITED AND QUALIFIED PURCHASERS.
TAMP services are inherently limited to traditional equity and ETF strategies. Axxcess combines true alternatives like private equity, private credit, hedge funds and directed real estate alongside traditional SMA strategies.

TRUE OPEN ARCHITECTURE
Traditional Custodians like Schwab, Fidelity IWS, and Ameritrade as well as direct, and self directed options and our Private Asset Platform.

Whether you are looking for a tactical strategy that can help your portfolio dynamically adjust to changing market conditions or an equity income strategy that can deliver a fixed income approach to equity investing, we can construct, implement, and monitor the solution.

Blend traditional SMA strategies with private equity, private credit, and hedge funds in the same account.



UMA/UMH

Sleeve Managemnet

Each custodial account can be sleeved to incorparate advisor directed, and third party managed strategies.

Organize

Your client can integrate all of their strategies into a single household or registration view.

Investment Choice

Access to an open universe of ETFs, Stocks, Mutual Funds, Fixed Income, investment managers, and select hedge funds.

Axxcess maintains custodial relationships with Charles Schwab, Fidelity IWS, NFS, Interactive Brokers, Millennium Trust, & TD Ameritrade.

Investment Operations

TAMP services are inherently limited to traditional equity and ETF strategies. Axxcess combines true alternatives like private equity, private credit, hedge funds and directed real estate alongside traditional SMA strategies.

Traditional SMA Sleeve Managers Available on the Axxcess Customized Portfolio Platform (UMA/UMH)

Alta Capital Management
ARK-Invest
Athena Investment Management
Auour Investments
AZA Capital Management
Caprin Asset Management
Clearbridge/Legg Mason
Diamond Hill Capital Management
Hilton Capital Management
Highmore Group Advisors
Horsecove Partners
Navellier Investment Associates
Nuveen Investment Management
Principal Global Investors
Strategic Global Advisors (SGA)
State Street Global Advisors
Sterling Global Strategies
Stifel Niclaus
Valor Capital
Zack Investment Research

Alternative Investment Platform Integrations (UMA/UMH)

CAIS
Crystal Capital
ICapital
Gemini

Private Equity/ Private Credit/Reg D

Self Directed Private Equity/Credit
Reg D Private Placements
Directed/Syndicated real estate LP
Self Directed Hedge Funds

Variable Annuity/ Insurance

VA sub account level reporting via DST
VUL/IUL reporting

03

THE THIRD SECTION

TAMP Custodial Relationships

Fidelity IWS
Schwab Institutional
TD Ameritrade
National Financial Services
Interactive Brokers

The Axxcess Platform helps advisors deliver a portfolio solution that is both scalable, and customized for the unique needs of your client base.

Investment Operations

YOUR TOP CLIENTS HAVE LIKELY DONE A DEAL…

YOUR CLIENTS HAVE LIKELY INVESTED IN A REAL ESTATE PARTNERSHIP, OR HELPED GROW A PRIVATE COMPANY. YOU CAN HELP THEM GET THEIR DIRECTED ALTERNATIVE INVESTMENTS ORGANIZED WITHIN YOUR REPORTS AND CLIENT PORTAL

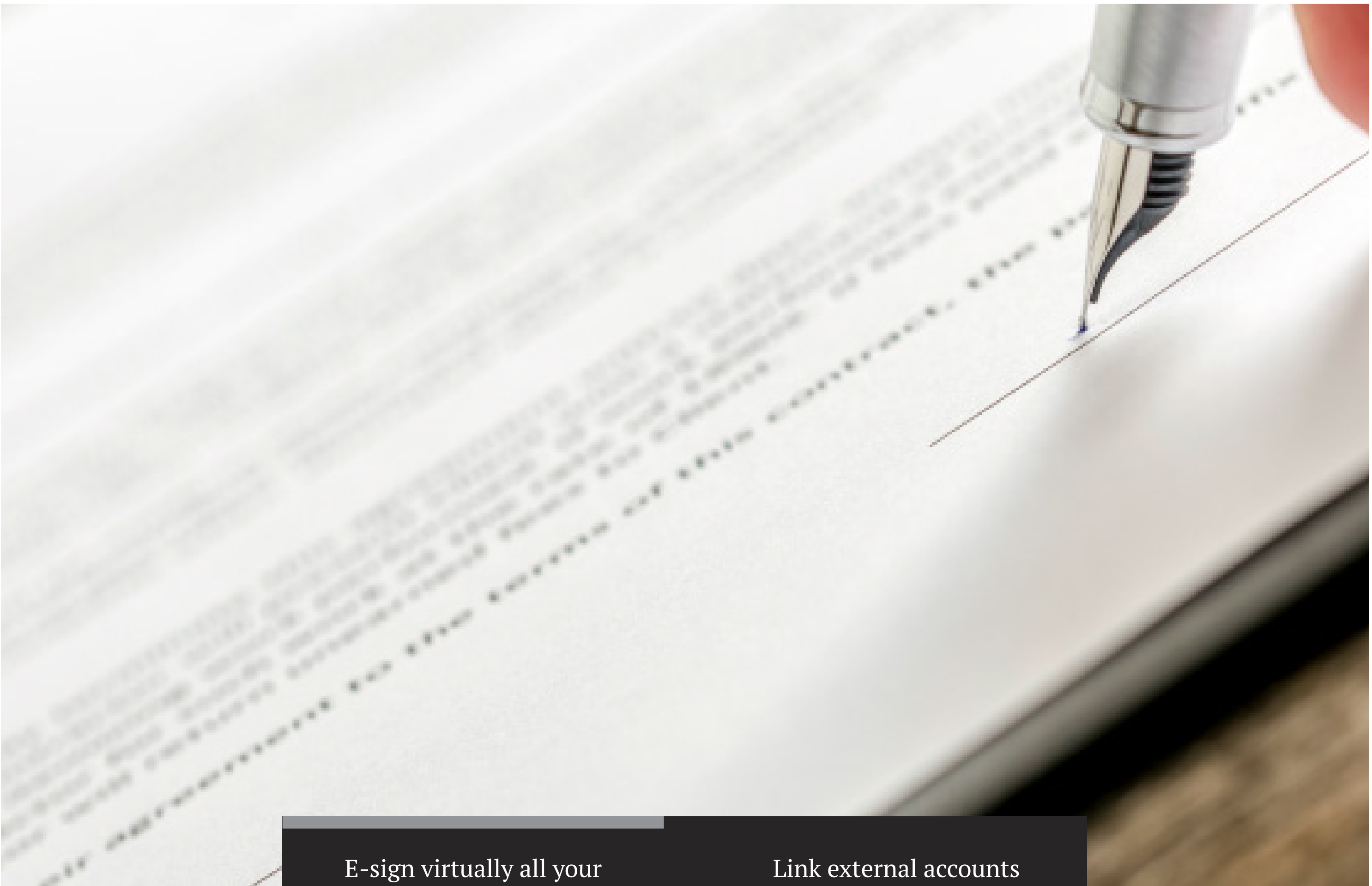
Performance reporting at the household, registration, account, security and strategy levels.

03

Advisors can implement a customized approach to construct unique solutions for clients.

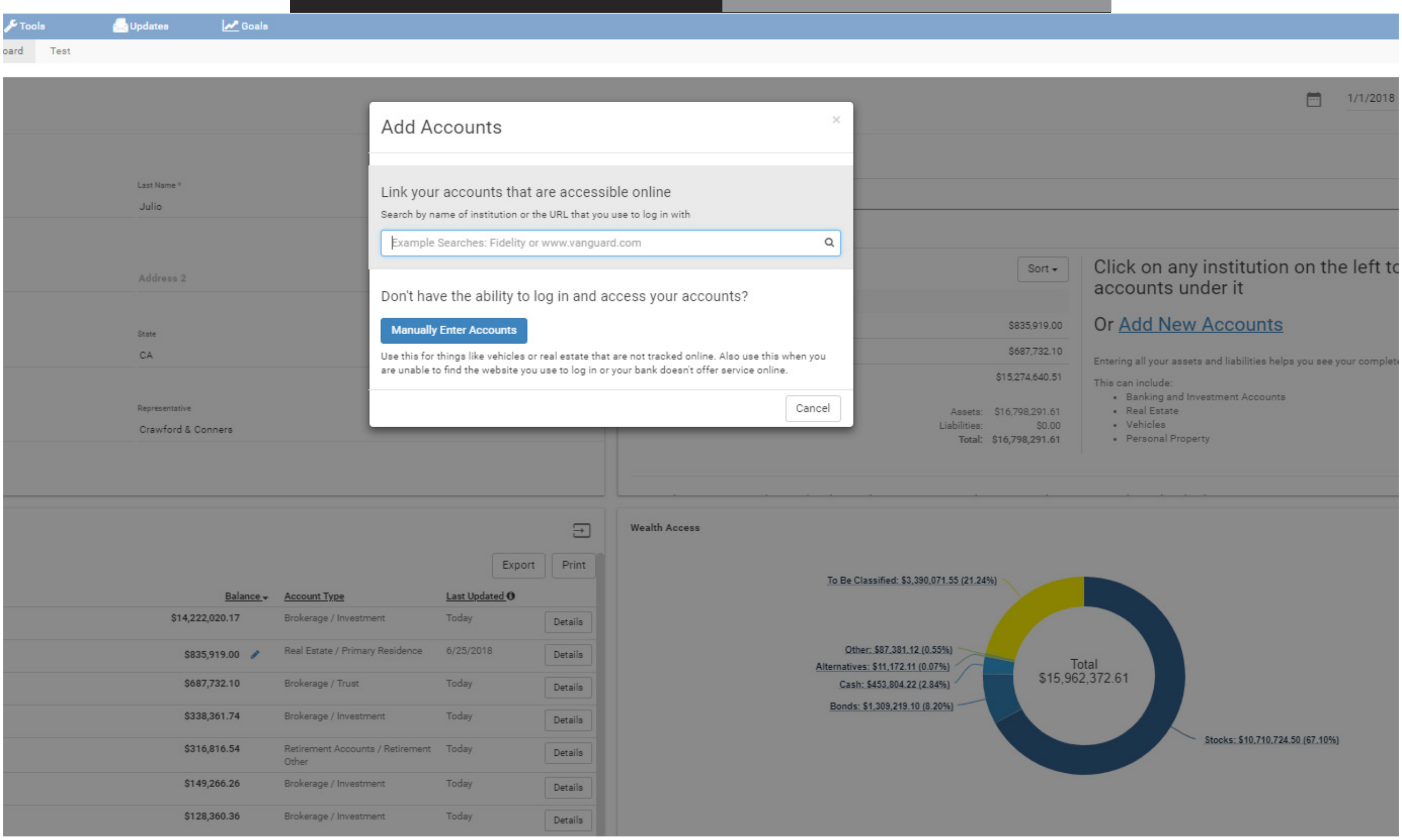
Portfolio’s can be implemented in a sinlge brokerage account at your choice of custodian using sleeve managers, advisor directed models, or customized allocations.

Our platform provides investment operations and portfolio management solutions, custom reporting, billing, client portal, and CRM integration. Our clients include single and multi-family offices, traditional wealth advisory firms and money managers.
We excel in meeting the needs of anyone serving high net worth clients.



E-sign virtually all your account opening paperwork

Link external accounts through our unique aggregation engine



Middle & Back office

04

RIA firms stuck with legacy systems that dont offer reconciliation, online portfolio reporting, and multi-manager, multi asset capabilities. You cant upgrade your systems due to expense, and time. The prospect of changing your legacy systems feels like heart surgery. It is. We can help you get make this transition quickly and efficiently.

FORTH SECTION

Prospect Portal

Paperless environment

Billing and Reporting

Compliance and Audit Support

Prospect Portal

Branded, integrated prospect portal creates a branded Advisor environment that allows a prospective client to onboard through your unique workflow. The prospect registers, and digitally integrates their current accounts directly into your investment operations center. No more manual statements, or hand entering their current holdings into your proposal tool. We do not send our prospects out to a third party for web scrub aggregation, only to send them back to a new site for reporting. It all happens in the same environment. Collect client profile, concerns, and objectives all on the front end digitally. Data drives workflows. Collect it efficiently.

Paperless environment

Digital storage, client vaults, and the ability to aggregate outside accounts directly into your reporting system. Docusign your business forms, and generate digital endpoints for your clients that require them. Direct paper forms where needed. All from the same systems. We will convert and customize your internal processes, design and author your workflows to create a powerful, and efficient practice that will dramatically increase net income, and improve the quality of your services.

Bill

Create tiered or flat billi
ment waterfalls to easily

With access to an open u
strategies, and select he
built our reporting platf
online and on paper. We
download from the Appl
are customized to create

Con

We will not replace you
prehensive support for S
compliance support pac
ADV logs, and more. Tra
audits.

Audit, which allows firm
SEC and state regulator
Verify, an anti-money la
Risk Solutions service fr
Supervise: Create overs
policy and procedures, a

ing and Reporting

ng schedules. Track each payee individually, and build pay-
y pay multiple reps, solicitors, broker dealers, and firms.

universe of ETF's, stocks, mutual funds, fixed income, SMA
dge fund, private equity, real estate, and private debt, we
orm to deliver a comprehensive view of your wealth- both
will brand your portal application for your clients to
e App Store, and Google Play for Android. Engaging reports
e your firms unique client deliverable.

mpliance Support

r external compliance consultant, but we can provide com-
SEC Exam requests for your accounts on our platform. Our
kage creates your historical trade blotters, fee billing reports,
ck your supervisory processes, and internal books and records

ns to run mock audits and automate information retrieval for
y exams, and
undering (AML) screening service that integrates with the
om the legal research and data firm LexisNexis.
ight tasks, audit trails, and ensure implementation with your
nd monitor risks.

Our platform transforms your proposal, IPS generation, account onboarding, and client servicing models.

We use technology to enhance the personal services an Advisor must provide to their clients to stay relevant, and competitive.

We enable you to spend more time being effective managing your business growth, and retention.

Move your business upstream one client at a time.

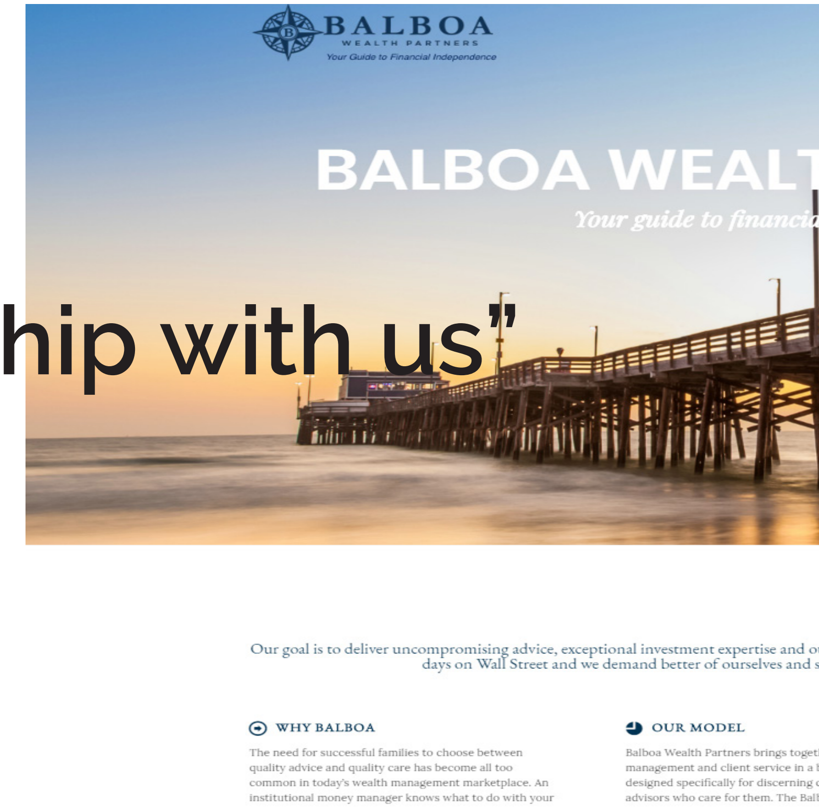
“Your relationship with us”

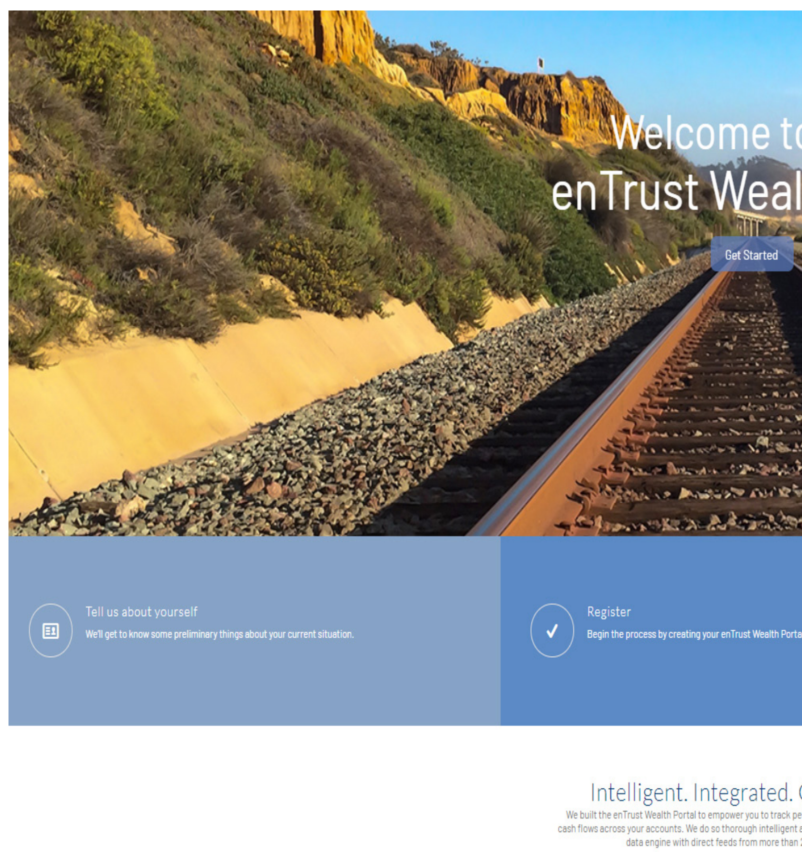
Working with Axxcess, our Advisor Platform Partners enjoy a fully branded, integrated, and reconciled Investment Platform that organizes sophisticated investment approach into a consolidated view of all of their clients wealth.

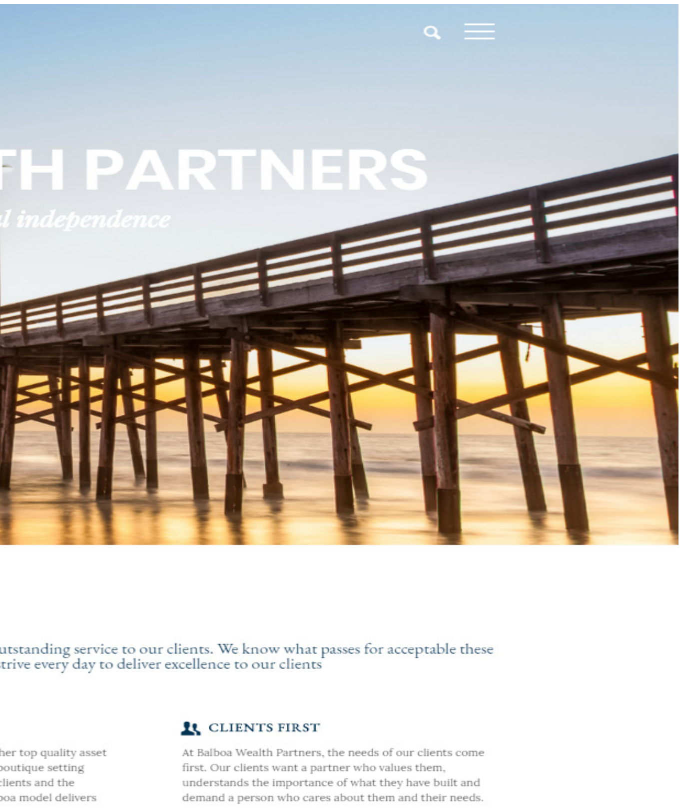
Our platform transforms your proposal, IPS generation, account onboarding, and client servicing models.

We use technology to enhance the personal services an Advisor must provide to their clients to stay relevant, and competitive.

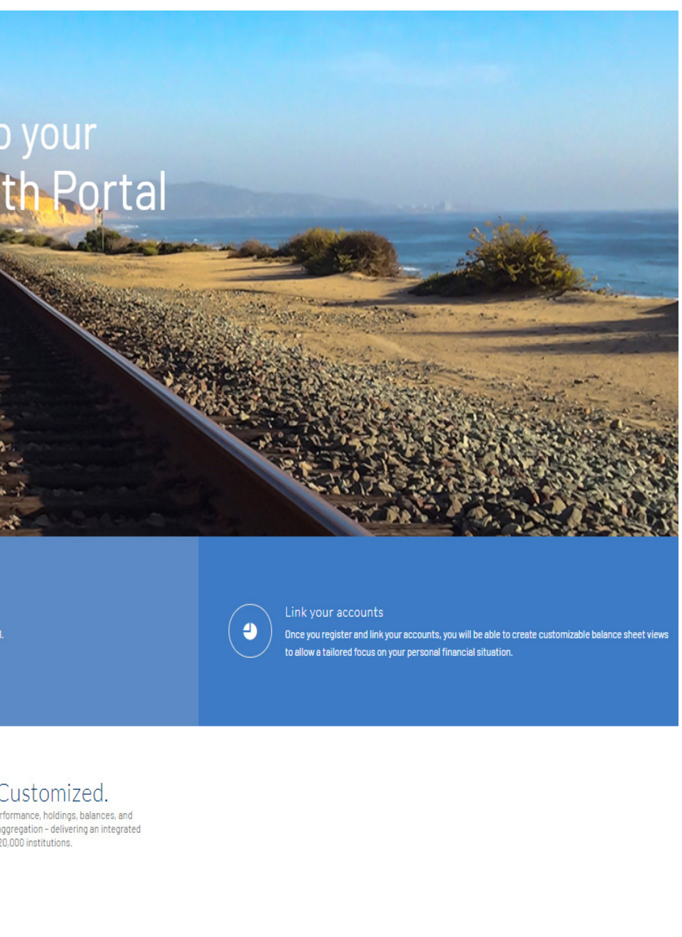
We enable you to spend more time being effective managing your business growth, and retention.



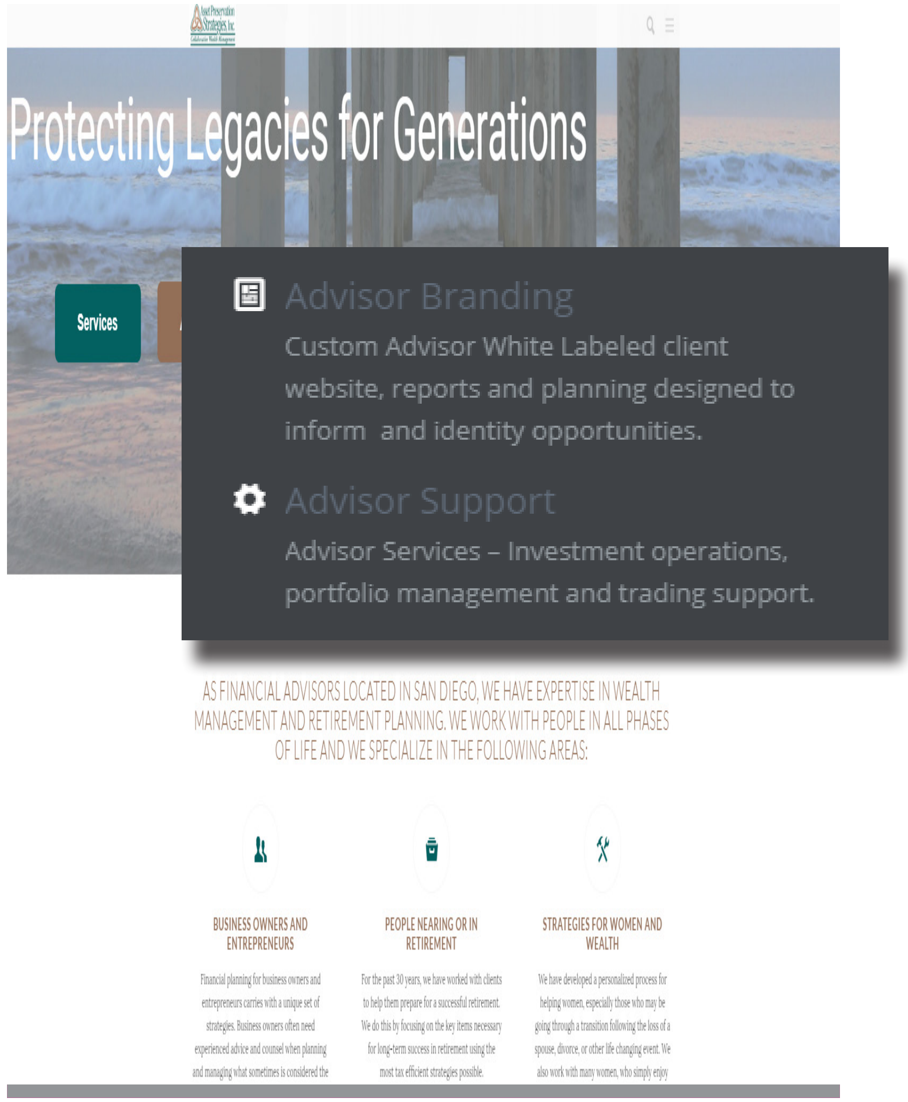




TH PARTNERS
l independence
utstanding service to our clients. We know what passes for acceptable these
trive every day to deliver excellence to our clients
CLIENTS FIRST
her top quality asset
boutique setting
clients and the
boa model delivers
At Balboa Wealth Partners, the needs of our clients come first. Our clients want a partner who values them, understands the importance of what they have built and demand a person who cares about them and their needs.



o your
th Portal
Link your accounts
Once you register and link your accounts, you will be able to create customizable balance sheet views to allow a tailored focus on your personal financial situation.
Customized.
rformance, holdings, balances, and
aggregation – delivering an integrated
20,000 institutions.



Protecting Legacies for Generations
Services
Advisor Branding
Custom Advisor White Labeled client website, reports and planning designed to inform and identity opportunities.
Advisor Support
Advisor Services – Investment operations, portfolio management and trading support.
AS FINANCIAL ADVISORS LOCATED IN SAN DIEGO, WE HAVE EXPERTISE IN WEALTH MANAGEMENT AND RETIREMENT PLANNING. WE WORK WITH PEOPLE IN ALL PHASES OF LIFE AND WE SPECIALIZE IN THE FOLLOWING AREAS:
BUSINESS OWNERS AND ENTREPRENEURS
Financial planning for business owners and entrepreneurs carries with a unique set of strategies. Business owners often need experienced advice and counsel when planning and managing what sometimes is considered the
PEOPLE NEARING OR IN RETIREMENT
For the past 30 years, we have worked with clients to help them prepare for a successful retirement. We do this by focusing on the key items necessary for long-term success in retirement using the most tax efficient strategies possible.
STRATEGIES FOR WOMEN AND WEALTH
We have developed a personalized process for helping women, especially those who may be going through a transition following the loss of a spouse, divorce, or other life changing event. We also work with many women, who simply enjoy

MAGNOLIA
CAPITAL MANAGEMENT
Magnolia
Capital
Management
Time Rewards the Well Advised
Client Portal
About Us
UNLIMITED IN OPPORTUNITY AND SECOND TO NONE IN SERVICE.
Magnolia Capital Management provides sophisticated wealth management solutions that are as unique as the clients we serve.
WEALTH MANAGEMENT
Along with providing traditional investments, we constantly pursue new opportunities beyond the threshold of conventional investing.
FAMILY OFFICE
Dedicated to sustaining and nurturing long-term wealth, our hard work and sincere commitment are the foundations upon which longstanding client relationships are built.
OPEN ARCHITECTURE
With access to an open universe of ETFs, stocks, mutual funds, fixed income, SMA strategies, and select
COMPETENCE
Our unique perspective consistently delivers custom-tailored, innovative, proven solutions that are

Axxcess

05

FIFTH SECTION

Platform

Business Development

We want to work with you
Work on a per account, segment of client, or a fully integrated basis. Enhancing your business does not require you change everything you are doing all at once.

Our platform is built for the experienced Advisor looking to improve its current RIA platform, or as an operational solution for a high caliber professional thinking of going independent and seeking a seamless transition.

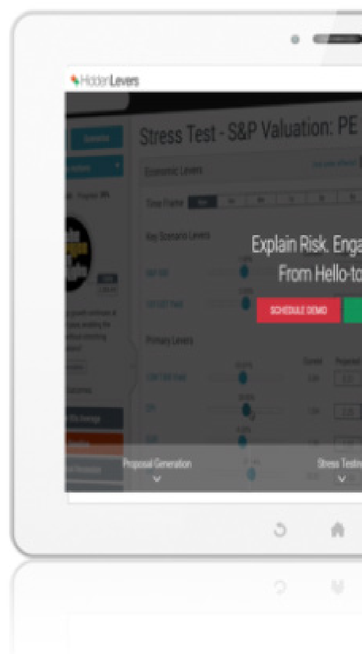
PLUG IN AND DISCOVER HOW THE AXXCESS PLATFORM CAN ENHANCE YOUR FIRMS SERVICES.

CLIENT FOCUSED

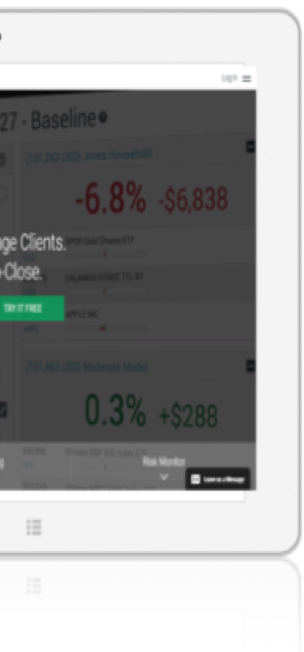
Financial Planning MoneyGuidePro®

MoneyGuidePro®, created by PIEtech℠, n
and quality financial plans possible on a la
and enables you to add value to the plann
experience, knowledge and relationship.

Learn more about the Money Guide



THE AXXCESS PLATFORM INTEGRATES WITH MANY EXISTING TECHNOLOGIES TO CREATE EFFICIENCY ACROSS YOUR PRACTICE



Explain Risk. Engage Clients. From Hello-to-Close.

Proposal Generation

Generate proposals that include risk tolerance capture and fee attribution, to help satisfy heightened regulatory demands.

Stress Testing

Illustrate the impact of economic risks on portfolio models, current clients and prospects' holdings.

Learn more about Hidden Levers

06 Your relationship with us

Operationalize Day One

Building and maintaining systems and investment operations is a significant challenge for advisors. Leverage our platform and enhance your client experience day one.

Working with Axxcess

Advisor Platform Partners enjoy a fully branded, integrated, and reconciled Investment Platform that organizes sophisticated investment approach into a consolidated view of all of their clients wealth.

Middle and Back Office

Operate your business from a central integrations. Customize workflows to create scale and efficiency.

Customized Portfolio Platform

Sleeve manager and UMA technology with your choice of custodian.

Private Asset Platform/AIP

Model and Trade Rebalancing platform

Practice Management

Step 1: Axxcess Hub connects you with critical client workflows, practice management, and investment resources.

Step 2: Comprehensive practice management resources including the AxxcessCRM, campaign and lead management, proposal and account opening tools.

Step 3: We will build and brand a rich Advisor environment that takes your prospect through a rich onboarding experience that is paperless for the Advisor. Aggregate and onboard external client assets without ever needing a paper statement.

Create a rich client experience

Deliver custom reporting, interactive web portals that track allocation, positions, client goals and activity. All from any web connected device.

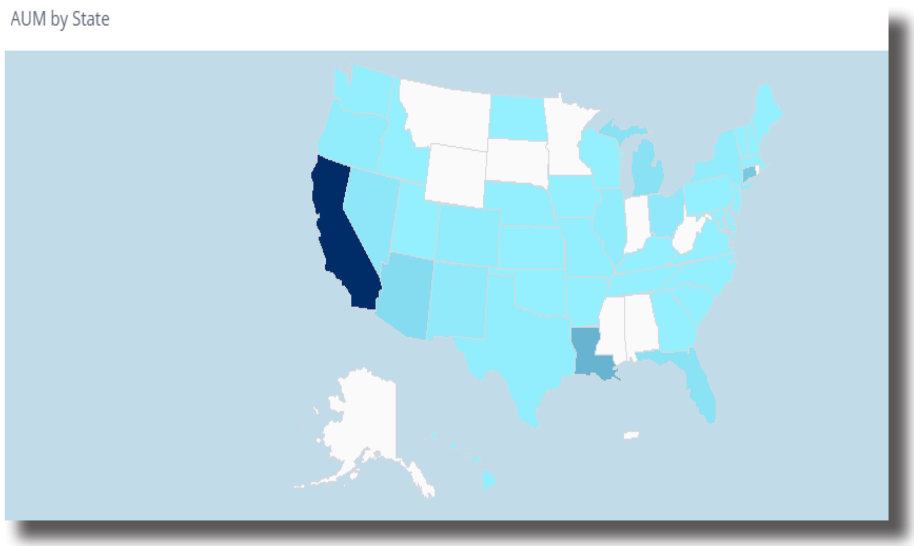
Training and Support

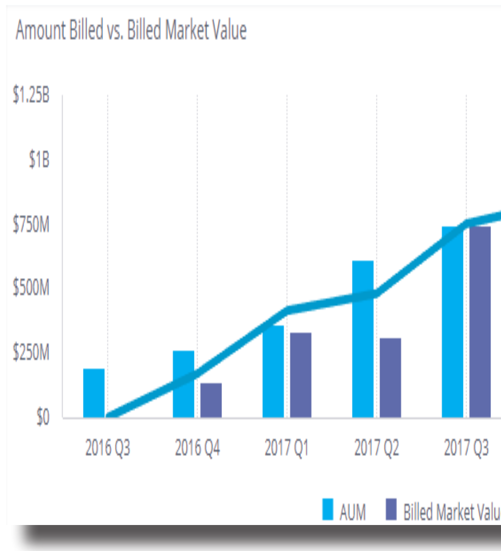
Experienced professionals with custom solutions for your unique brand and firm.

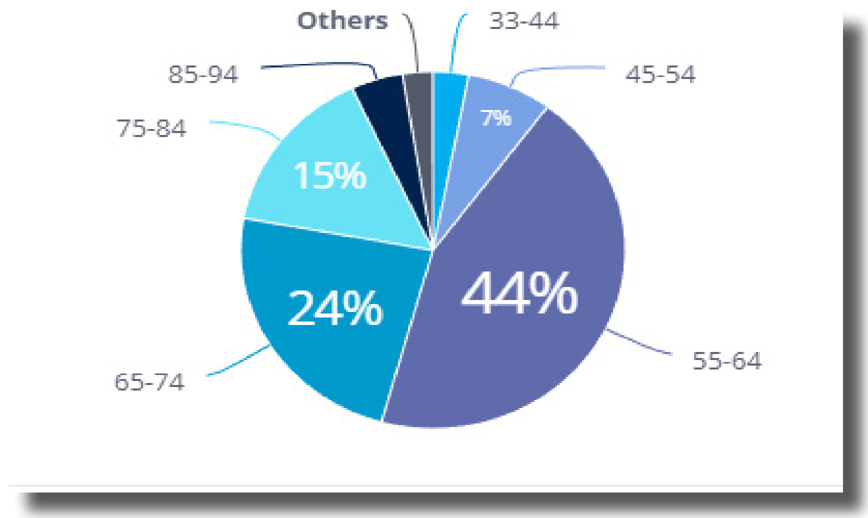
Firm Trends

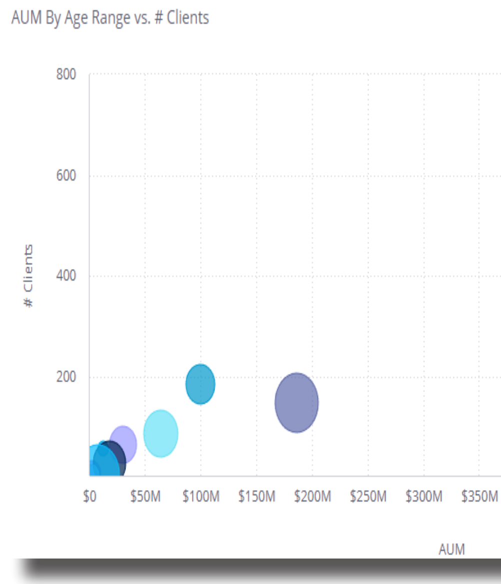
THE LAST SECTION

SIXTH SECTION





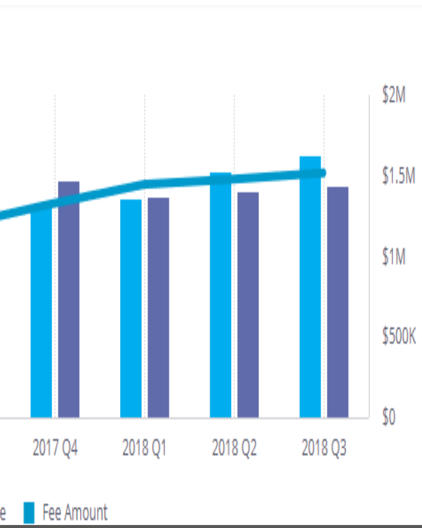




06

WHAT ARE YOUR PRIORITIES?

How do you deliver service to your existing clients, earn new relationships, and manage your portfolios while keeping your overhead and margins intact? Your competitors today are the very fund companies you place money with. They are giving their best "asset allocation" models away for free. Your value proposition is changing very quickly and firms with resources are re-positioning themselves to compete.



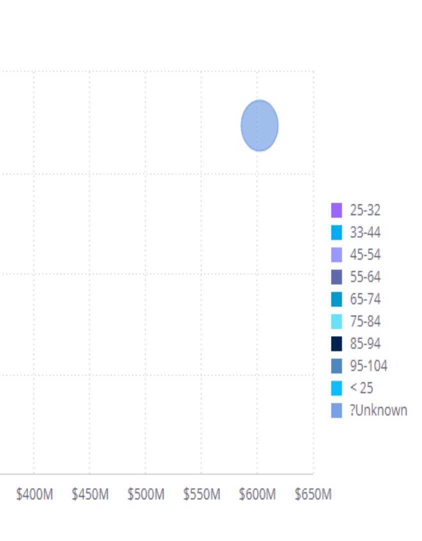
Practice Management

Trends give you interactive dashboards to seeing data about your firm.

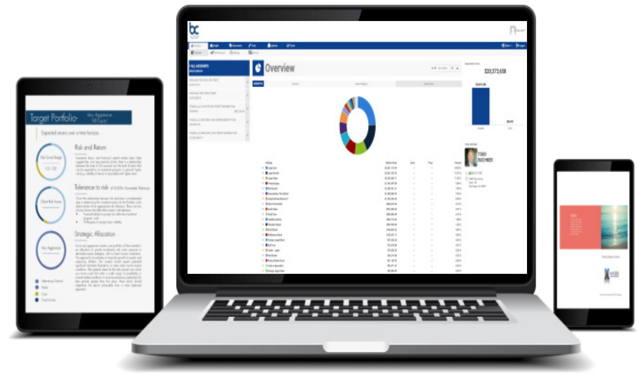
You'll have a screen full of widgets, giving you all the information you want to see.

You're able to set filters on the right side of your screen to tweak settings however you want.

Many widgets are interactive, so hovering your mouse over various data points will display additional information.



Axxcess provides a platform service model that helps you implement your firms goals



Track, bill, and report on directed investments in the same account that houses traditional SMA, Fixed Income, Mutual Fund, and ETF strategies.

Report, monitor, and analyze hedge fund, private equity, private credit and real estate within the same Advisor branded report portal.

Multi Asset/
Multi Custodial

Firm level trend following and informatics.

AXXCESS
www.axxcessplatform.com

01	02	03	04	05	06
Axxcess Platform	Core Functionality	Investment Operations	Middel & Back Office	Business Development	You Relationship

The Axxcess Customized Portfolio Platform allows Advisor Firms to build multi manager strategies as stand alone portfolio solutions, or to compliment Advisor Directed strategies.

www.axxcessplatform.com

2018-2019

Axxcess Platform

Axxcess Wealth Management, LLC

6005 Hidden Valley Road, Suite 290
Carlsbad, CA 92011
www.axxcessplatform.com
866-217-5607

Axxcess Platform is a service mark of Axxcess Wealth Management, a Registered Investment Advisor with the SEC.